

Bank of New York Mellon ADR FX Settlement
c/o KCC Class Action Services
P.O. Box 505030
Louisville, KY 40233-5030
1-866-447-6210
info@bnymadrfxsettlement.com

PROOF OF CLAIM AND RELEASE FORM

IMPORTANT – If you receive/have received a Post-Card Notice in the mail in connection with this Settlement, you are a Registered Holder Settlement Class Member (i.e., you hold (or held) the American Depositary Receipts (“ADRs”) covered by this Action directly through The Bank of New York Mellon (“BNYM” or “Defendant”), are listed in the records of BNYM’s transfer agent with respect to such holdings, and your contact, holding, and distribution information was provided to the Claims Administrator by BNYM’s transfer agent), and you **DO NOT** need to complete and submit this Proof of Claim and Release Form (“Claim Form”) to be eligible to receive a share of the Net Settlement Fund in connection with this Settlement. The Post-Card Notice mailed to you contains a Claim Number and PIN to access your holdings and distribution information on the website www.bnymadrfxsettlement.com. Please refer to paragraph 2 of the General Instructions in this Claim Form and the full Notice available on the website for more information. If you did NOT receive a Post-Card Notice containing a Claim Number and PIN, please follow the instructions below to submit a Claim Form.

IF YOU DO NOT RECEIVE/HAVE NOT RECEIVED A POST-CARD NOTICE IN THE MAIL IN CONNECTION WITH THIS SETTLEMENT, YOU ARE A NON-REGISTERED HOLDER SETTLEMENT CLASS MEMBER AND YOU MUST COMPLETE AND SIGN THIS CLAIM FORM AND MAIL IT BY PREPAID, FIRST-CLASS MAIL TO THE ABOVE ADDRESS, OR SUBMIT IT ONLINE AT WWW.BNYMADRFXSETTLEMENT.COM, **POSTMARKED (OR RECEIVED) NO LATER THAN AUGUST 15, 2019** IN ORDER TO BE ELIGIBLE TO RECEIVE A SHARE OF THE NET SETTLEMENT FUND IN CONNECTION WITH THIS SETTLEMENT.

IF YOU ARE A NON-REGISTERED HOLDER SETTLEMENT CLASS MEMBER, FAILURE TO SUBMIT YOUR CLAIM FORM BY THE DATE SPECIFIED ABOVE WILL SUBJECT YOUR CLAIM TO REJECTION AND MAY PRECLUDE YOU FROM BEING ELIGIBLE TO RECEIVE ANY MONEY IN CONNECTION WITH THE SETTLEMENT.

DO NOT MAIL OR DELIVER YOUR CLAIM FORM TO THE COURT, THE PARTIES, OR THEIR COUNSEL. SUBMIT YOUR CLAIM FORM ONLY TO THE CLAIMS ADMINISTRATOR AT THE ADDRESS SET FORTH ABOVE, OR ONLINE AT WWW.BNYMADRFXSETTLEMENT.COM.

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Must Be Postmarked
or Received No Later
Than August 15, 2019

BMA

Official
Office
Use
Only

UNITED STATES DISTRICT COURT
FOR THE SOUTHERN DISTRICT OF NEW YORK

The Bank of New York Mellon ADR FX Litigation

Civil Action No. 16-CV-00212-JPO-JLC

PROOF OF CLAIM AND RELEASE

Please Type or Print in the Boxes Below
Do NOT use Red Ink, Pencil, or Staples

PART I – CLAIMANT IDENTIFICATION

Last Name

[Grid for Last Name]

M.I.

[Grid for M.I.]

First Name

[Grid for First Name]

Last Name (Co-Beneficial Owner)

[Grid for Last Name (Co-Beneficial Owner)]

M.I.

[Grid for M.I.]

First Name (Co-Beneficial Owner)

[Grid for First Name (Co-Beneficial Owner)]

IRA Joint Tenancy Employee Individual Other _____ (specify)

Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA

[Grid for Company Name]

Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)

[Grid for Trustee/Asset Manager/Nominee/Record Owner's Name]

Account#/Fund# (Not Necessary for Individual Filers)

[Grid for Account#/Fund#]

Last Four Digits of Social Security Number

[Grid for Last Four Digits of Social Security Number]

or

Taxpayer Identification Number

[Grid for Taxpayer Identification Number]

Telephone Number (Primary Daytime)

[Grid for Telephone Number (Primary Daytime)]

Telephone Number (Alternate)

[Grid for Telephone Number (Alternate)]

Email Address

[Grid for Email Address]

MAILING INFORMATION

Address 1

[Grid for Address 1]

Address 2

[Grid for Address 2]

City

[Grid for City]

State

[Grid for State]

Zip Code

[Grid for Zip Code]

Foreign Province

[Grid for Foreign Province]

Foreign Postal Code

[Grid for Foreign Postal Code]

Foreign Country Name/Abbreviation

[Grid for Foreign Country Name/Abbreviation]

FOR CLAIMS
PROCESSING
ONLY

OB

[Grid for OB]

CB

[Grid for CB]

ATP
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[Grid for MM/DD/YYYY]

FOR CLAIMS
PROCESSING
ONLY



PART II – GENERAL INSTRUCTIONS

1. It is important that you completely read and understand the Notice of (I) Pendency of Class Action and Proposed Settlement; (II) Final Approval Hearing; and (III) Motion for Attorneys' Fees and Reimbursement of Litigation Expenses (the "Notice") available at www.bnymadrfxsettlement.com, including the proposed Plan of Allocation of Net Settlement Fund attached as Exhibit 1 to the Notice. The Notice describes the proposed Settlement, how Settlement Class Members are affected by the Settlement, and the manner in which the Net Settlement Fund will be distributed if the Settlement and Plan of Allocation are approved by the Court. The Notice also contains the definitions of many of the defined terms (which are indicated by initial capital letters) used in this Claim Form. By signing and submitting this Claim Form, you will be certifying that you have read and understand the Notice, including the terms of the Releases described therein and provided for herein.

2. **Important - Please Note:** Only Non-Registered Holder Settlement Class Members, including those Settlement Class Members who hold (or held) their eligible ADRs through a bank, broker or other nominee rather than directly, must submit a Claim Form in order to be eligible to receive a payment from the Settlement. Those Settlement Class Members who receive/have received a Post-Card Notice in the mail (i.e., Registered Holder Settlement Class Members) do not need to submit a Claim Form in order to be eligible to receive a payment from the Settlement. The Post-Card Notice mailed to Registered Holder Settlement Class Members contains a unique Claim Number and PIN to access, on the website www.bnymadrfxsettlement.com, information regarding the ADRs they held and the cash distributions they received during the relevant period in connection with their holdings as provided by BNYM's transfer agent, which information will be used to calculate their Claims. If you received a Post-Card Notice, please review the information regarding your holdings and cash distributions as set forth on the website to confirm it is accurate and complete. If the information regarding your holdings and cash distributions is incorrect or incomplete, you must notify the Claims Administrator immediately. Otherwise, the Claims Administrator will assume the information is correct and complete, and will use such information to calculate your Claim. **If you are unsure whether you are a Non-Registered Holder Settlement Class Member or a Registered Holder Settlement Class Member, please contact the Claims Administrator.**

3. By submitting this Claim Form, you will be making a request to share in the proceeds of the Settlement described in the Notice. **IF YOU ARE NOT A SETTLEMENT CLASS MEMBER** (see definition of Settlement Class on page 6 of the Notice, which sets forth who is included in and who is excluded from the Settlement Class), **OR IF YOU, OR SOMEONE ACTING ON YOUR BEHALF, SUBMITTED A REQUEST FOR EXCLUSION FROM THE SETTLEMENT CLASS, DO NOT SUBMIT A CLAIM FORM. YOU MAY NOT, DIRECTLY OR INDIRECTLY, PARTICIPATE IN THE SETTLEMENT IF YOU ARE NOT A SETTLEMENT CLASS MEMBER.** **THUS, IF YOU ARE EXCLUDED FROM THE SETTLEMENT CLASS, ANY CLAIM FORM THAT YOU SUBMIT, OR THAT MAY BE SUBMITTED ON YOUR BEHALF, WILL NOT BE ACCEPTED.**

4. **Submission of this Claim Form does not guarantee that you will share in the proceeds of the Settlement. The distribution of the Net Settlement Fund will be governed by the Plan of Allocation set forth in the Notice, if it is approved by the Court, or by such other plan of allocation as the Court approves.**

5. Use the Schedule of Cash Distributions Per Eligible ADR in Part III of this Claim Form to supply all required information regarding the cash distributions you received as a result of your holdings in the ADRs covered by the Action. Please provide all of the requested information.

6. You are required to submit genuine and sufficient documentation for all of the cash distributions set forth in the Schedule of Cash Distributions Per Eligible ADR in Part III of this Claim Form. Documentation may consist of copies of your end of year account statements, or an authorized statement from your broker containing the information regarding your cash distributions that would be found in a year-end account statement. **Please Note:** If you are a Non-Registered Holder Settlement Class Member, the Parties and the Claims Administrator do not independently have information about your holdings in the ADRs covered by the Action or the cash distributions you may have received as a result of such holdings. **IF SUCH DOCUMENTS ARE NOT IN YOUR POSSESSION, PLEASE OBTAIN COPIES OR EQUIVALENT DOCUMENTS FROM YOUR BROKER. FAILURE TO SUPPLY THIS DOCUMENTATION MAY RESULT IN THE REJECTION OF YOUR CLAIM. DO NOT SEND ORIGINAL DOCUMENTS. Please keep a copy of all documents that you send to the Claims Administrator. Also, please do not highlight any portion of the Claim Form or any supporting documents.**

7. Separate Claim Forms should be submitted for each separate legal entity.

8. All joint beneficial owners must sign this Claim Form and their names must appear as "Claimants" in Part I of this Claim Form.



9. Agents, executors, administrators, guardians, and trustees must complete and sign the Claim Form on behalf of persons represented by them, and they must:

- (a) expressly state the capacity in which they are acting;
- (b) identify the name, account number, last four digits of the SSN (or TIN), address and telephone number of the beneficial owner of (or other person or entity on whose behalf they are acting with respect to) the eligible ADRs; and
- (c) furnish herewith evidence of their authority to bind to the Claim Form the person or entity on whose behalf they are acting. (Authority to complete and sign a Claim Form cannot be established by stockbrokers demonstrating only that they have discretionary authority to trade securities in another person's accounts.)

10. By submitting a signed Claim Form, you will be swearing that you:

- (a) received the cash distributions you have listed in the Claim Form; or
- (b) are expressly authorized to act on behalf of the owner of the ADRs that received such cash distributions.

11. By submitting a signed Claim Form, you will be swearing to the truth of the statements contained therein and the genuineness of the documents attached thereto, subject to penalties of perjury under the laws of the United States of America. The making of false statements, or the submission of forged or fraudulent documentation, will result in the rejection of your Claim and may subject you to civil liability or criminal prosecution.

12. If the Court approves the Settlement, payments to eligible Authorized Recipients pursuant to the Plan of Allocation (or such other plan of allocation as the Court approves) will be made after any appeals are resolved, and after the completion of all Claims processing. The Claims process could take substantial time to complete fully and fairly. Please be patient.

13. **PLEASE NOTE:** As set forth in the Plan of Allocation, each Authorized Recipient shall receive his, her or its *pro rata* share of the Net Settlement Fund. If the prorated payment to any Authorized Recipient calculates to less than \$1.00, it will not be included in the calculation and no distribution will be made to that Authorized Recipient.

14. If you have questions concerning the Claim Form, or need additional copies of the Claim Form or the Notice, you may contact the Claims Administrator, KCC Class Action Services, at the above address, by toll-free phone at (866) 447-6210, or by e-mail at info@bnymadrfxsettlement.com, or you may download the documents from the website for the Settlement, www.bnymadrfxsettlement.com.

15. **NOTICE REGARDING ELECTRONIC FILES:** Certain Claimants may request, or may be requested, to submit information regarding their transactions in electronic files. To obtain the mandatory electronic filing requirements and file layout, you may visit the Settlement website at www.bnymadrfxsettlement.com or you may email the Claims Administrator's electronic filing department at Nominees@bnymadrfxsettlement.com. Any file not in accordance with the required electronic filing format will be subject to rejection. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues an email after processing your file with your claim numbers and respective account information. **Do not assume that your file has been received or processed until you receive this email. If you do not receive such an email within 10 days of your submission, you should contact the electronic filing department at Nominees@bnymadrfxsettlement.com to inquire about your file and confirm it was received and acceptable.**

IMPORTANT: PLEASE NOTE

YOUR CLAIM IS NOT DEEMED FILED UNTIL YOU RECEIVE AN ACKNOWLEDGEMENT POSTCARD. THE CLAIMS ADMINISTRATOR WILL ACKNOWLEDGE RECEIPT OF YOUR CLAIM FORM BY MAIL, WITHIN 60 DAYS. IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CALL THE CLAIMS ADMINISTRATOR TOLL- FREE AT (866) 447-6210.



PART III - SCHEDULE OF CASH DISTRIBUTIONS PER ELIGIBLE ADR

Please be sure to include proper documentation with your Claim Form as described in detail in Part II – General Instructions, paragraph 6, above.

A. In the chart below, please fill in the total cash distributions you received from January 1, 1997 through January 17, 2019 for each of the ADRs set forth in the list of eligible ADRs beginning on page 6.

ADR CODE	Total Cash Distributions Received from January 1, 1997 though January 17, 2019	Confirm Proof Enclosed
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No
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<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/>	<input type="radio"/> Yes <input type="radio"/> No

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS, PLEASE PHOTOCOPY THIS PAGE,
 WRITE YOUR NAME ON THE COPY AND FILL THIS CIRCLE:
 IF YOU DO NOT FILL IN THIS CIRCLE, THESE ADDITIONAL PAGES MAY NOT BE REVIEWED.
 YOU MUST READ AND SIGN THE RELEASE ON PAGE 15. FAILURE TO SIGN THE RELEASE
 MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



LIST OF ELIGIBLE ADRS

ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
ABI Sab Group Holding Ltd (CUSIPs: 78572M105 / 836216309 / 836220103)	ABIS	Legal & General Group Plc (CUSIP: 52463H103)	LEGA
Accor SA (CUSIPs: 00435F101 /00435F309)	ACCO	Lendlease Group (CUSIP: 526023205)	LEND
Adidas AG (CUSIP: 00687A107)	ADID	LHR Airports Ltd (CUSIP: 05518L206)	LHRA
Administradora de Fondos de Pe 00709P108	ADMI	Liberty Group Ltd (CUSIPs: 140487109 / 530616101 / 53055R103 / 53055R202 / 530706100 / 530706209)	LIBE
AES Tiete Energia SA (CUSIPs: 00809V203 / 00808P207 / 00808P108)	AEST	Lihir Gold Ltd (CUSIPs: 532349206 / 532349107)	LIHI
Aixtron SE (CUSIP: 009606104)	AIXT	Lloyds Banking Group Plc (CUSIP: 539439109)	LLOY
Alcatel-Lucent SA (CUSIP: 013904305)	ALCA	Lonmin Plc (CUSIPs: 54336Q104 / 54336Q203 / 543374409)	LONM
Allied Irish Banks PLC (CUSIPs: 019228402 / 019228303)	ALLI	Lukoil Pjsc (CUSIPs: 69343P105 / 677862104 / 677862807 / 677862302 / 677862203)	LUKO
Alstom SA (CUSIP: 021244108)	ALST	Luxottica Group Spa (CUSIP: 55068R202)	LUXO
Altana AG (CUSIP: 02143N103)	ALTA	Lvmh Moet Hennessy Louis Vuitt (CUSIP: 502441207)	LYMH
Alumina Ltd. (CUSIP: 022205108)	ALUM	Macquarie Group Ltd (CUSIPs: 55607P105 / 55607P204)	MACQ
Ambev SA (CUSIPs: 20441W203 / 02319V103)	AMBE	Madeco, S.A. (CUSIPs: 556304103 / 556304202)	MADE
Anglo American Plc. (CUSIPs: 03485P102 / 03485P300)	ANGA	Mahanagar Telephone Nigam Ltd (CUSIP: 559778402)	MAHA
Anglo Platinum (CUSIP: 035078104)	ANGP	Makita Corp (CUSIP: 560877300)	MAKI
Anglogold Ashanti Ltd. (CUSIPs: 035128206 / 043743103 / 043743202)	ANGL	Mannesmann A.G. (CUSIP: 563775303)	MANN
Anheuser-Busch Inbev SA/NV (CUSIPs: 03524A108 / 157123209 / 40051F100 / 74838Y207)	ANHB	Masisa SA (CUSIPs: 574799102 / 574800108)	MASI
Arkema SA (CUSIP: 041232109)	ARKE	Massmart Holdings Ltd (CUSIP: 576290100)	MASS
Arm Holdings Plc. (CUSIP: 042068106)	ARMH	Metso Oyj (CUSIPs: 592671101 / 754183101 / 920232303)	METS
Assicurazioni Generali Spa (CUSIP: 465234102)	ASSI	Mizuho Financial Group Inc (CUSIPs: 359558103 / 60687Y109)	MIZU
Astra AB (CUSIPs: 046298105 / 046298204)	ASTR	Mmc Norilsk Nickel Pjsc (CUSIPs: 46626D108 / 55315J102)	MMCN
Australia & New Zealand Banking (CUSIP: 052528304)	AUST	MMI Holdings Ltd/South Africa (CUSIP: 55314H107)	MMIH
AV Gold (CUSIP: 035134303)	AVGO	Mobile Telesystems Pjsc (CUSIP: 61946A106)	MOBI



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
AXA SA (CUSIPs: 054536107 / 149188104 / 866791106)	AXAS	Mol Hungarian Oil & Gas Plc (CUSIP: 831595202)	MOLH
B.A. (CUSIPs: 060587508/ 060593100)	BBAA	Mosenergo Pjsc (CUSIPs: 037376100 / 037376308)	MOSE
Banco Bilbao Vizcaya Argentari (CUSIPs: 059458208 / 059456202 / 059456301 / 059456103 / 058925108 / 05946K101 / 059594408 / 059594507 / 07329Q507 / 07329Q200 / 07329Q309)	BANB	MTN Group Ltd (CUSIP: 62474M108)	MTNG
Banco Comercial Portugues SA (CUSIPs: 059479303 / 059479709)	BACP	National Australia Bank Ltd (CUSIP: 632525408)	NAAB
Banco Do Brasil SA (CUSIP: 059578104)	BADB	National Bank of Greece SA (CUSIPs: 633643507 / 633643408)	NABG
Banco Popolare SC (CUSIPs: 059471102 / 059633107)	BAPO	National Grid (CUSIPs: 636274102 / 636274300 / 636274409)	NATG
Banco Santander Brasil SA (CUSIPs: 05964H105 / 05967A107)	BASB	National Power Plc (CUSIP: 637194408)	NATP
Banco Santander Chile (CUSIPs: 05965F108 / 05965X109)	BASC	Natuzzi Spa (CUSIP: 63905A101)	NATU
Bank of Ireland (CUSIP: 46267Q103)	BAOI	NEC Corp (CUSIPs: 629050204 / 81661W109)	NECC
Bank of Tokyo – Mitsubishi FJ L (CUSIP: 065379109)	BOTM	Nedbank Group Ltd (CUSIPs: 63975P103 / 63975K104 / 63975P202)	NEDB
Barclays Africa Group Ltd. (CUSIPs: 06738E204 / 06742G302 / 06739H776 / 06739H511 / 06739H362 / 06739F390)	BAAG	Net Servicos de Comunicacao SA (CUSIP: 37957X102)	NETS
BASF SE (CUSIPs: 055262505 / 019097104)	BASF	Newcrest Mining Ltd (CUSIP: 651191108)	NEWC
Bass Plc. (CUSIP: 069904209)	BASS	Newmont Australia Pty Ltd (CUSIPs: 390290104 / 656190105 / 656190204)	NEWM
BAT Industries Plc. (CUSIP: 055270508)	BATI	Nippon Yusen KK (CUSIP: 654633304)	NIPP
Bayer AG (CUSIP: 072730302)	BAYE	Nomura Holdings Inc (CUSIP: 65535H208)	NOMU
BBVA Banco Frances SA (CUSIPs: 059591107 / 07329M100)	BBVA	NTT Docomo Inc (CUSIPs: 62942M201 / 62942M102 / 629424201 / 62942M300 / 629424102 / 629424508 / 629424409)	NTTD
BG Group Ltd. (CUSIPs: 055434203 / 052578408 / 055434104 / 780259206 / 780259107)	BGGR	Orange Polska SA (CUSIP: 87943D108)	ORAN



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
Bidvest Group LTD/THE (CUSIPs: 088836101 / 088836200 / 088836309)	BIDV	Orange SA (CUSIPs: 35177Q105 / 35177Q204 / 35177QAB1)	ORNG
Billabong International (CUSIP: 090055104)	BILL	Orkla Asa (CUSIP: 686331109)	ORKL
Blue Circle Industries (CUSIPs: 095342408 / 095342507)	BLUE	Partner Communications Co Ltd (CUSIP: 70211M109)	PART
BNP Paribas SA (CUSIPs: 05565A202 / 05565A103 / 066747106)	BNPP	Pearson Plc (CUSIP: 705015105)	PEAR
Boehler-Uddeholm AG (CUSIP: 097356307)	BOEH	Pernod Ricard SA (CUSIPs: 019121102 / 714264108)	PERN
Brasil Telecom Participacoes S (CUSIPs: 10553M101 / 10553M200 / 105530109 / 670851104 / 670851203)	B RTP	Petrochina Co Ltd (CUSIP: 71646E100)	PETR
Brasilagro – Co Brasileira De (CUSIP: 10554B104)	BRCB	Petroleo Brasileiro SA (CUSIPs: 71654V101 / 71654V408)	PEBR
Braksem SA (CUSIPs: 105532105 / 217252105 / 86959M101)	BRAS	PflIn 1.35 (CUSIP: 74050U206)	PFLL
BRF SA (CUSIPs: 10552T107 / 71361V204 / 71361V303 / 71361V105)	BRFS	Pharol Sgps SA (CUSIP: 737273102)	PHAR
British American Tobacco Plc. (CUSIP: 110448107)	BRIT	Polski Koncern Naftowy Orlen S (CUSIP: 731613402)	POLS
British Steel (CUSIP: 111015301)	BRST	Polyus Pjsc (CUSIPs: 678129107 / 73181P102)	POLY
Bunzl Plc. (CUSIPs: 120738406 / 120738307)	BUNZ	Powergen Ltd (CUSIP: 738905405)	POWE
Burmah Castrol Plc. (CUSIP: 122169303)	BURM	Premier Farnell Ltd (CUSIP: 74050U107)	PREM
Cencosud SA (CUSIPs: 15132H101 / 802233106)	CENC	Provident Financial Plc (CUSIP: 74387B103)	PROV
Centrica Plc. (CUSIPs: 15639K102 / 15639K201 / 15639K300)	CENT	Publicis Groupe SA (CUSIPs: 74463M106 / F76080112 / 785144205)	PUBL
Chilcott UK Ltd. (CUSIPs: 363240102 / 93443W109)	CHIL	Qantas Airways Ltd (CUSIPs: 74726M406 / 74726M505)	QANT
China Agri-Industries Holdings (CUSIP: 16940R109)	CHIN	QBE Insurance Group Ltd (CUSIP: 74728G605)	QBEI
Chorus Ltd. (CUSIP: 17040V107)	CHOR	Racal Electronics Plc (CUSIP: 749815403)	RACA
Chunghwa Telecom Co., Ltd. (CUSIP: 17133Q205)	CHUN	Randstad UK Holding Ltd (CUSIP: 81617E203)	RAND
CIA Brasileira De Distribuicao (CUSIPs: 20440T201 / 20440T102)	CBDD	Rbs 11.2 Perp (CUSIP: 780097309)	RBSA
CIA Cervejaria Brahma (CUSIPs: 20440X103 / 20440X202)	CCBR	Rbs 6.35 Perp (CUSIP: 780097770)	RBSB
Cia DeBebidas Das Americas-AM (CUSIP: 20441W104)	CBDA	Rbs 8 1/2 Perp (CUSIP: 780097804 / 780097853)	RBSC
Cia De Saneamento Basico Do Es (CUSIP: 20441A102)	CDSB	Rbs 8.1 Perp (CUSIP: 780097705)	RBSD
Cia De Transmissao De Energia (CUSIPs: 20441Q107 / 20441Q206)	CDTD	Rbs 8.2125 Perp (CUSIP: 780097606)	RBSE



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
Cia Energetica De Sao Paulo (CUSIPs: 20440P209 / 20440P407)	CESP	Rbs 9 1/2 Perp (CUSIP: 780097408)	RBSF
Cia Paranaense De Energia (CUSIPs: 20441B308 / 20441B407)	CIPE	Reed Elsevier NV (CUSIPs: 758204101 / 758205108 / 758204200 / 758205207)	REED
Cie Financiere Richemont SA (CUSIP: 204318109)	CIEF	Rentokil Initial Plc (CUSIP: 760125104)	RENT
Coca Cola Hellenic Bottling Co. (CUSIP: 1912EP104)	COCA	Repsol SA (CUSIP: 76026T205)	REPS
Coca-Cola Amatil Ltd. (CUSIP: 191085208)	COAM	Rexam Ltd (CUSIPs: 761655406 / 761655505 / 761655604)	REXA
Coca-Cola Femsa Sab De CV (CUSIP: 191241108)	COFE	Rhodia SA (CUSIPs: 762397107 / 762397206)	RHOD
Coflexip SA (CUSIP: 192384105)	COFL	Rio Tinto France Sas (CUSIP: 705151207)	RIOF
Commerzbank AG (CUSIPs: 202597308 / 202597605)	COMM	Rio Tinto Plc (CUSIPs: 767202104 / 767204100 / 045074101 / 126170505 / 74974K706)	RIOT
Commonwealth Bank of Australia (CUSIPs: 202712303 / 202712600)	CBOA	Roche Holding AG (CUSIPs: 771195104 / 771195401)	ROCH
Comp. De Geracao De Energia El (CUSIPs: 20441P109 / 20441P208 / 20441R204 / 20441R105 / 264398108 / 264398207)	CDGE	Rolls-Royce Holdings Plc (CUSIP: 775781206)	ROLL
Compass Group Plc. (CUSIPs: 20449X104 / 20449X203 / 20449X302)	COMP	Royal Bank of Scotland/ABN (CUSIPs: 780097721 / 780097739)	ROYA
Continental AG (CUSIP: 210771200)	CONT	Rushydro Pjsc (CUSIPs: 466294105 / 782183123 / 782183131 / 782183404 / 466294204)	RUSH
Converium (CUSIP: 21248N107)	CONV	RWE AG (CUSIPs: 74975E303 / 74975E402)	RWEA
Corus Group Ltd. (CUSIP: 22087M101)	CORU	RWE Generation UK Holdings Plc (CUSIP: 45769A103)	RWEG
Cosco Shipping International S (CUSIP: 22112Y203)	COSC	Ryanair Holdings Plc (CUSIP: 783513104)	RYAN
Craneware Plc. (CUSIP: 224465104)	CRAN	Sadia SA (CUSIP: 786326108)	SADI
Crayfish Co. Ltd. (CUSIP: 225226208)	CRAY	Sanofi (CUSIPs: 80105N105 / 762426AC8 / 762426401 / 80105N204)	SANO
Credit Suisse Group AG (CUSIP: 225401108)	CRED	Santander UK Plc (CUSIPs: 002920106 / 002920700)	SANT
CRH Plc. (CUSIP: 12626K203)	CRHP	Sanuk 8 3/4 Perp (CUSIP: 002920205)	SANU
Crucell NV (CUSIP: 228769105)	CRUC	Sap SE (CUSIPs: 803054204 / 803054303)	SAPS
Dai Nippon Printing Co Ltd (CUSIP: 233806306)	DAIN	Sappi Ltd. (CUSIPs: 803069103 / 803069202 / 108510041)	SAPP
Danka Business Systems Plc (CUSIP: 236277109)	DABS	Sasol Ltd. (CUSIP: 803866300)	SASO
DBS Group Holdings Ltd (CUSIP: 23304Y100)	DBSG	Sberbank of Russia Pjsc (CUSIP: 80585Y308)	SBER
Delhaize Group Sca (CUSIP: 29759W101)	DELH	Scor SE (CUSIP: 80917Q106)	SCOR



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
Deutsche Bank AG (CUSIP: 251525309)	DEUT	Scottish Power Plc (CUSIPs: 81013T408 / 81013T705)	SCOT
Deutsche Lufthansa AG (CUSIPs: 251561304 / 549836500)	DEUL	Sega Sammy Holdings Inc (CUSIP: 815794102)	SEGA
Deutsche Post AG (CUSIP: 25157Y202)	DEUP	Sekisui House Ltd (CUSIP: 816078307)	SEKI
Diageo Plc (CUSIPs: 25243Q205 / 25243Q106 / 402033302)	DIAG	Serono (CUSIP: 81752M101)	SERO
Dollar Pref Restricted 4-2 b e (CUSIPs: 6162*1019 / 6162*1017)	DOLL	Seversky Tube Works Pjsc (CUSIP: 818146102)	SEVE
Dominion Mining Ltd (CUSIP: 257457309)	DOMN	Shell Transport & Trading Co I (CUSIP: 822703609)	SHEL
Drdgold Ltd (CUSIPs: 26152H103 / 26152H301 / 266597301)	DRDG	Shiseido Co Ltd (CUSIP: 824841407)	SHIS
Dresdner Bank AG (CUSIPs: 261561302 / 261561401)	DRES	Shoprite Holdings Ltd (CUSIP: 82510E209)	SHOP
Ducati Motor Holding Spa (CUSIP: 264066101)	DUCA	Sibanye Gold Ltd (CUSIPs: 03840M109 / 825724206)	SIBA
Eletropaulo Metropolitana Elet (CUSIP: 286203302)	ELET	Signet Jewelers Ltd (CUSIP: 82668L872)	SIGN
Elf Aquitaine SA (CUSIP: 286269105)	ELFA	Sims Metal Management Ltd (CUSIP: 829160100)	SIMS
Embotelladora Andina SA (CUSIPs: 29081P204 / 29081P303)	EMBO	Six Continents Ltd (CUSIP: 830018107)	SIXC
Embratel Participacoes SA (CUSIPs: 29081N100 / 29081N209)	EMBR	Sky Plc (CUSIP: 111013108)	SKYP
Empresas Ica Sab de CV (CUSIP: 292448107)	EMPR	Smithkline Beecham Ltd (CUSIP: 832378301)	SMIT
Engie Brasil Energia SA (CUSIPs: 892360108 / 29286U107 / 892360306)	ENGI	Sociedad Quimica y Minera De C (CUSIP: 833636103)	SOCI
Eni Lasmo Plc (CUSIP: 501730204)	ENIL	Sociedad Quimica y Minera de Chile (CUSIP: 833635105)	SQMC
Eni Spa (CUSIP: 26874R108)	ENSP	Societe Generale SA (CUSIPs: 784320103 / 784320202 / 83364L109)	SOGE
Eniim 10 Perp (CUSIP: 501730303)	ENII	Sodexo SA (CUSIP: 833792104)	SODE
Erste Group Bank AG (CUSIP: 296036304)	ERST	Softbank Group Corp (CUSIP: 471104109)	SOFT
Evrz Highveld Steel & Vanadiu (CUSIP: 30050A301)	EVRA	Southern Electric Plc 144a (CUSIPs: 842809709 / 842809402)	SOUT
Ferguson Plc (CUSIP: 97786P100)	FERG	Spark New Zealand Ltd (CUSIPs: 84652A102 / 879278307 / 879278208)	SPAR
Fibria Celulose SA (CUSIP: 92906P106)	FIBR	Sse Plc (CUSIPs: 810133405 / 810133702 / 81012K309)	SSEP
Fila Holding S.P.A. (CUSIP: 316850106)	FILA	Standard Bank Group Ltd (CUSIP: 853118206)	STAN
Fomento Economico Mexicano Sab (CUSIP: 344419106)	FOME	Statoil Asa (CUSIP: 85771P102)	STAT
Foster's Group Pty Ltd (CUSIP: 350258307)	FOST	Submarino S.A. - Reg s (CUSIPs: 86431P300 / 86431P508)	SUBM



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
Fresenius Medical Care AG & Co (CUSIPs: 358029106 / 358029205)	FRES	Sumitomo Mitsui Financial Group (CUSIP: 865622104)	SUMI
Gallaher Group Ltd (CUSIP: 363595109)	GALA	Suncorp Group Ltd (CUSIP: 867232100)	SUNC
Gates Worldwide Ltd (CUSIP: 890030208)	GATE	Surgutneftegas Ojsc (CUSIPs: 46625F104 / 868861204 / 868861105)	SURG
Gazprom Neft Pjsc (CUSIP: 36829G107)	GAZP	Svenska Cellulosa Ab Sca (CUSIP: 869587402)	SVEN
Gazprom Pjsc (CUSIPs: 47973C305 / 753317304 / 753317205 / 753317106)	GAPP	Swedish Match Ab (CUSIP: 870309507)	SWED
Genesys (CUSIP: 37185M209)	GENE	Swire Pacific Ltd (CUSIPs: 870794302 / 870794401 / 870797404)	SWIR
Gerdau SA (CUSIP: 373737105)	GERD	Swisscom AG (CUSIP: 871013108)	SWIS
Getlink SE (CUSIP: 39944Q109)	GETL	Syngenta AG (CUSIP: 87160A100)	SYNG
Glaxosmithkline Plc (CUSIP: 37733W105)	GLAX	Tabcorp Holdings Ltd (CUSIP: 873306203)	TABC
Gol Linhas Aereas Inteligent (CUSIP: 38045R107)	GOLL	Tata Communications Ltd (CUSIPs: 876564105 / 92659G402 / 92659G600 / 92659G303)	TATA
Gold Fields Ltd (CUSIPs: 262026503 / 38059R100 / 38059T106 / 380596205 / 957654304)	GOLD	Tate & Lyle Plc (CUSIP: 876570607)	TATE
Grupo Aeroportuario del Centro (CUSIP: 400501102)	GRUP	Tatneft Pjsc (CUSIPs: 03737P207 / 03737P108 / 65486P100 / 876629205)	TATN
Grupo Aeroportuario del Pacifi (CUSIP: 400506101)	GADP	TDC A/S (CUSIP: 87236N102)	TDCA
Grupo Aeroportuario del Surest (CUSIP: 40051E202)	GADS	Tele Celular Sul Part S.A. (CUSIP: 879238103)	TELC
Grupo Casa Saba Sab de CV (CUSIP: 40048P104)	GCSS	Tele Centro Oeste Celular Part (CUSIP: 87923P105)	TECE
Grupo Elektra, S.A. De C.V. (CUSIP: 40050A102)	GREL	Tele Nordeste Celular Particip (CUSIP: 87924W109)	TELN
Grupo Financiero Banorte Sab D (CUSIPs: 400486106 / 059456400 / 059456509 / 40051M105 / 40052P107 / 400486304 / 40051M204)	GRFI	Tele Norte Leste Participacoes (CUSIPs: 87924Y105 / 879246106)	TNLP
Grupo Mex Desarrollo (CUSIPs: 40048G104 / 40048G203)	GRMD	Tele Sudeste Celular Participa (CUSIPs: 87943B102 / 879252104)	TELS
Grupo Televisa SAB (CUSIP: 40049J206)	GRTS	Tele2 AB (CUSIPs: 87952P109 / 87952P208)	TELE
Hannover Rueck SE (CUSIP: 410693105)	HANN	Telecomunicacoes Brasileiras S (CUSIP: 879287209)	TECB
Harmony Gold Mining Co Ltd (CUSIP: 413216300)	HAGO	Telekom Austria AG (CUSIP: 87943Q109)	TELA
Hbos Plc (CUSIP: 42205M106)	HBOS	Telekomunikasi Indonesia Perse (CUSIP: 715684106)	TELI
Hellenic Telecommunications OR (CUSIP: 423325307)	HETE	Telemig Celular Participacoes (CUSIP: 87944E105)	TECP
Henkel AG & Co KGAA (CUSIP: 42550U109 / 42550U208)	HENK	Telesp Participacoes S.A. (CUSIPs: 87952L108 / 87952K100)	TESP



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
Hillsdown Holdings Plc (CUSIP: 432586204)	HILL	Telkom SA Soc Ltd (CUSIP: 879603108)	TELK
HMS Hydraulic Machines & Syste (CUSIP: 40425X100)	HMSH	Telstra Corp Ltd (CUSIPs: 87969N204 / 87969N303 / 87969N105)	TEST
Hoechst Gmbh (CUSIP: 434390308)	HOEC	Ternium Mexico SA De Cv (CUSIP: 880890108)	TERN
Hot Telecommunication System I (CUSIP: 576561104)	HOTT	Tesco Plc (CUSIPs: 881575302 / 098561202)	TESC
Hydromet Corp Ltd (CUSIP: 449003102)	HYDR	Teva Pharmaceutical Industries (CUSIPs: 881624209 / 16361E108 / 50540H104)	TEVA
Igate Computer Systems Ltd (CUSIP: 703248203)	IGAT	Tiger Brands Ltd (CUSIPs: 88673M102 / 88673M201 / 886911106)	TIGR
Imperial Holdings Ltd (CUSIPs: 452833106 / 452833205)	IMPE	TMK Pjsc (CUSIP: 87260R300)	TMKP
Incitec Pivot Ltd (CUSIP: 45326Y206)	INCI	Total SA (CUSIPs: 89151E109 / 716485206)	TOTA
Indosat Tbk Pt (CUSIP: 744383100)	INDO	Transcom Worldwide SA (CUSIPs: 893234104 / 893545103 / 893545202 / 894116102)	TRAN
Indusind Bank Ltd (CUSIP: 45579Q108)	INBA	Trend Micro Inc/Japan (CUSIP: 89486M206)	TREN
Industrias Bachoco Sab de CV (CUSIP: 456463108)	INDB	Turkiye Garanti Bankasi AS (CUSIPs: 900148305 / 900148701 / 900151101)	TURK
Industrie Natuzzi S.P.A. (CUSIP: 456478106)	INDU	Tv Azteca Sab De Cv (CUSIP: 901145102)	TVAZ
Informa Plc (CUSIPs: 093529204 / 45672B206 / 45672B305 / 90265U203 / 90969M101)	INFO	UBS AG (CUSIP: 90261R105)	UBSA
Intercontinental Hotels Group (CUSIPs: 45857P103 / 458573102 / 458573201)	INTE	Ultrapar Participacoes SA (CUSIP: 90400P101)	ULTR
International Power Ltd (CUSIP: 46018M104)	INPO	Unibail-Rodamco SE (CUSIP: 960224103)	UNIB
Intesa Sanpaolo Spa (CUSIPs: 05944F104 / 46115H107)	INTS	Unified Energy System Oao (CUSIPs: 904688108 / 904688405)	UNIF
Invensys Ltd (CUSIP: 461204109)	INVE	Union Andina de Cementos SAA (CUSIP: 904845104)	UNIO
Inversiones Aguas Metropolitan (CUSIP: 46128Q201)	INAM	United Overseas Bank Ltd (CUSIPs: 911271302/ 910903301)	UNIT
Itau Unibanco Holding SA (CUSIPs: 059602102 / 465562106 / 059602201 / 90458E107)	ITAU	Usinas Siderurgicas de Minas G (CUSIP: 917302408)	USIN
J Sainsbury Plc (CUSIP: 466249208)	SAIN	Van Der Moolen Holding Nv (CUSIP: 921020103)	VAND
Johnson Matthey Plc (CUSIPs: 479142309 / 479142408 / 479142507)	JOHN	Veolia Environnement SA (CUSIP: 92334N103)	VEOL
Julius Baer Group Ltd (CUSIP: 481369106)	JULI	Vimpel-Communications Pjsc (CUSIPs: 92719A106 / 92719A304)	VIMP
Kidde Plc (CUSIP: 493793103)	KIDD	Vina Concha y Toro SA (CUSIP: 927191106)	VINA



ADR/CUSIPs	Code (To be entered in PART III above)	ADR/CUSIPs	Code (To be entered in PART III above)
Kingfisher Plc (CUSIPs: 495724403 / 495724205 / 495724304)	KING	Vivendi SA (CUSIPs: 137041208 / 204390108 / 419312202 / 92851S105 / 92851S204)	VIVE
Kingsgate Consolidated Ltd (CUSIP: 496362104)	KIGA	Vodafone Airtouch Plc (CUSIP: 92857T107)	VODA
Klabin SA (CUSIPs: 45647P108 / 49834M100)	KLAB	Vodafone Group Plc (CUSIPs: 92857W308 / 698113107 / 87926R108 / 92857W209 / 92857W100 / 92858M101)	VODG
Komatsu Ltd (CUSIP: 500458401)	KOMA	Wacoal Holdings Corp (CUSIP: 930004205)	WACO
Komercni Banka AS (CUSIP: 500459409)	KOME	Wal-mart de Mexico Sab De Cv (CUSIP: 93114W107)	WALM
Koninklijke Ahold N.V. (CUSIPs: 500467303 / 500467402 / 500467AA3)	KONI	Wavecom SA (CUSIP: 943531103)	WAVE
Koor Industries Ltd (CUSIP: 500507108)	KOOR	Westpac Banking Corporation (CUSIPs: 789547106 / 961214301)	WEST
Kroton Educacional SA (CUSIP: 50106A402)	KROT	Wind Hellas Telecommunications (CUSIPs: 859823106 / 88706Q104)	WIND
Kumba Iron Ore Ltd (CUSIP: 50125N104)	KUMB	WMC Limited (CUSIPs: 928947100 / 92928R106)	WMCL
Ladbroke Group Inc (CUSIPs: 505727305 / 505730101)	LADB	Woodside Petroleum Ltd (CUSIP: 980228308)	WOOD
Lagardere Sca (CUSIP: 507069102)	LAGA	Woolworths Holdings Ltd/South (CUSIPs: 480209402 / 98088R109 / 98088R505)	WOOL
Lan airlines S.A. (CUSIP: 501723100)	LANA	Zurich Insurance Group AG (CUSIPs: 01959Q101 / 98982M107 / 989825104)	ZURI



PART IV - RELEASE OF CLAIMS AND SIGNATURE

YOU MUST READ THE RELEASE AND CERTIFICATION BELOW AND SIGN ON PAGE 15 OF THIS CLAIM FORM.

I (we) hereby acknowledge that, pursuant to the terms set forth in the Stipulation, without further action by anyone, upon the Effective Date of the Settlement, I (we), on behalf of myself (ourselves) and my (our) respective heirs, executors, administrators, predecessors, successors, and assigns in their capacities as such, shall be deemed to have, and by operation of law and of the judgment shall have, fully, finally and forever compromised, settled, released, resolved, relinquished, waived and discharged each and every Released Claim against any of the Releasees, and shall forever be barred and enjoined from prosecuting any or all of the Released Claims against any of the Releasees.

CERTIFICATION

By signing and submitting this Claim Form, the Claimant(s) or the person(s) who represent(s) the Claimant(s) certifies (certify), as follows:

1. that I (we) have read and understand the contents of the Notice and this Claim Form, including the Releases provided for in the Settlement and the terms of the Plan of Allocation;
2. that the Claimant(s) is a (are) Settlement Class Member(s), as defined in the Notice, and is (are) not excluded by definition from the Settlement Class as set forth in the Notice;
3. that the Claimant has not submitted a request for exclusion from the Settlement Class;
4. that I (we) received the cash distributions identified in the Claim Form and have not assigned the claim against the Defendant or any of the other Releasees to another, or that, in signing and submitting this Claim Form, I (we) have the authority to act on behalf of the owner(s) thereof;
5. that the Claimant(s) has (have) not submitted any other claim covering the same cash distributions identified in the Claim Form and knows (know) of no other person having done so on the Claimant's (Claimants') behalf;
6. that the Claimant(s) submit(s) to the jurisdiction of the Court with respect to Claimant's (Claimants') claim and for purposes of enforcing the Releases set forth herein;
7. that I (we) agree to furnish such additional information with respect to this Claim Form as Lead Plaintiffs' Counsel, the Claims Administrator or the Court may require;
8. that the Claimant(s) waive(s) the right to trial by jury, to the extent it exists, and agree(s) to the Court's summary disposition of the determination of the validity or amount of the claim made by this Claim Form;
9. that I (we) acknowledge that the Claimant(s) will be bound by and subject to the terms of any judgment(s) that may be entered in the Action; and
10. that the Claimant(s) is (are) NOT subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code because (a) the Claimant(s) is (are) exempt from backup withholding or (b) the Claimant(s) has (have) not been notified by the IRS that he/she/it/they is/are subject to backup withholding as a result of a failure to report all interest or dividends or (c) the IRS has notified the Claimant(s) that he/she/it/they is/are no longer subject to backup withholding. **If the IRS has notified the Claimant(s) that he/she/it/they is/are subject to backup withholding, please strike out the language in the preceding sentence indicating that the claim is not subject to backup withholding in the certification above.**



UNDER THE PENALTIES OF PERJURY, I (WE) CERTIFY THAT ALL OF THE INFORMATION PROVIDED BY ME (US) ON THIS CLAIM FORM IS TRUE, CORRECT, AND COMPLETE, AND THAT THE DOCUMENTS SUBMITTED HEREWITH ARE TRUE AND CORRECT COPIES OF WHAT THEY PURPORT TO BE.

Signature of Claimant

Date (mm/dd/yyyy)

Print Name

Signature of Joint Claimant, if any

Date (mm/dd/yyyy)

Print Name

If the Claimant is other than an individual, or is not the person completing this form, the following also must be provided:

Signature of person signing on behalf of Claimant

Date (mm/dd/yyyy)

Print Name

Capacity of person signing on behalf of Claimant, if other than an individual, e.g., executor, president, trustee, custodian, etc. (Must provide evidence of authority to act on behalf of Claimant – see paragraph 9 on page 4 of this Claim Form.)

REMINDER CHECKLIST

1. Please sign the above Release and certification. If this Claim Form is being made on behalf of joint Claimants, then both must sign.
2. Remember to attach only **copies** of acceptable supporting documentation, as these documents will not be returned to you.
3. Please do not highlight any portion of the Claim Form or any supporting documents.
4. Keep copies of the completed Claim Form and documentation for your own records.
5. The Claims Administrator will acknowledge receipt of your Claim Form by mail within 60 days. Your claim is not deemed filed until you receive an acknowledgement postcard. **IF YOU DO NOT RECEIVE AN ACKNOWLEDGEMENT POSTCARD WITHIN 60 DAYS, PLEASE CALL THE CLAIMS ADMINISTRATOR TOLL-FREE AT 1-866-447-6210.**
6. If your address changes in the future, or if this Claim Form was sent to an old or incorrect address, please send the Claims Administrator written notification of your new address. If you change your name, please inform the Claims Administrator.
7. If you have any questions or concerns regarding your claim, please contact the Claims Administrator at the above address or toll-free at 1-866-447-6210, or visit www.bnymadrfxsettlement.com. Please DO NOT call BNYM or its counsel with questions regarding your claim.

THIS CLAIM FORM MUST BE MAILED TO THE CLAIMS ADMINISTRATOR BY PREPAID, FIRST-CLASS MAIL, OR SUBMITTED ONLINE AT WWW.BNYMADRFXSETTLEMENT.COM, **POSTMARKED (OR RECEIVED) NO LATER THAN AUGUST 15, 2019**. IF MAILED, THE CLAIM FORM SHOULD BE ADDRESSED AS FOLLOWS:

Bank of New York Mellon ADR FX Settlement
c/o KCC Class Action Services
P.O. Box 505030
Louisville, KY 40233-5030

If mailed, a Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted, if a postmark date on or before August 15, 2019 is indicated on the envelope and it is mailed First Class, and addressed in accordance with the above instructions. In all other cases, a Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to fully process all of the Claim Forms. Please be patient and notify the Claims Administrator of any change of address.



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